

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
07200.040001

In Re Application Of: **Hiroyuki SUGIE et al.**

Serial No.
10/717,436

Filing Date
11/19/2003

Examiner

Group Art Unit

Title: **AUGER TYPE ICE-MAKING MACHINE**



Address to:

**Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

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(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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Jonathan P. Osha, Reg. No. 33,986
OSHA & MAY L.L.P.
1221 McKinney, Suite 2800
Houston, Texas 77010

Telephone: 713-228-8600

Facsimile: 713-228-8778

CC:

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(Use several sheets if necessary)

07200.040001

10/717,436

Hiroyuki SUGIE et al.

11/19/2003

Group Art Unit

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|--|----|---|
| | A4 | Abstract of Japanese Utility Model, Publication No.: S57-128068, Date of Publication: August 10, 1982, 1 page |
| | A5 | Patent Abstracts of Japan, Publication No.: 10-253211, Date of Publication: September 25, 1998, 2 pages |

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION

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Docket Number (Optional)

07200.040001

Application Number

10/717,436

Applicant(s)

Hiroyuki SUGIE et al.

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Group Art Unit

*EXAMINER

INITIAL

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Patent Abstracts of Japan, Publication No. 2002-013847, Date of Publication: January 18, 2002, 2 pages

Japanese International Search Report dated January 13, 2004, 2 pages

B1



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